



## PRE-APPLICATION QUESTIONNAIRE MANAGEMENT SYSTEM CERTIFICATION BODY ACCREDITATION

### **A. Pre-application Questionnaire**

Certification Bodies interested in seeking accreditation for Management Systems from International Development Community Accreditation Body (IDCAB) are requested to complete and submit this "Pre-application Questionnaire " form. Information on the IDCAB Accreditation Criteria for Management System Certification Bodies (AC477) and the Rules of Procedure can be obtained from the IDCAB website: <http://www.idcab.org>.

This Pre-application questionnaire will be used by IDCAB to conduct a resource review of the applicant's request and proposed scope of accreditation. Upon receipt of the completed Pre-application questionnaire, from the interested applicants, a formal Application will be sent along with a cost estimate to move forward to the next stage of the accreditation process.

Organization Name:

Organization Address:

Organization's website URL:

Are there any additional locations which provide key certification activities?

### **B. Contact Information (this will be the first point of contact between IDCAB and your organization)**

Contact Title:

Contact First Name:

Contact Last Name:

Contact Email Address:

Contact Phone Number:

**C. Existing Accreditation Information**

Are you currently accredited, or have you been accredited within the past five years?

If yes, please provide the accreditation body name and location:

If yes, please provide the current accreditation expiration date (Month and Year):

Has your accreditation ever been suspended, voluntarily withdrawn, or cancelled?

**D. Scope of Accreditation (Please see annex A for detailed IAF code descriptions)**

<b>ISO 9001:2015 (Quality Management Systems)</b>		<b>ISO 14001:2015 (Environmental Management Systems)</b>		<b>OHSAS 18001:2007 (Occupational Health and Safety Management Systems)</b>		<b>ISO 45001:2017 (Occupational Health and Safety Management Systems)</b>	
<u>IAF Codes</u>	<u>Select boxes</u>	<u>IAF Codes</u>	<u>Select boxes</u>	<u>IAF Codes</u>	<u>Select boxes</u>	<u>IAF Codes</u>	<u>Select Boxes</u>
1		1		1		1	
2		2		2		2	
3		3		3		3	
4		4		4		4	
5		5		5		5	
6		6		6		6	
7		7		7		7	
8		8		8		8	
9		9		9		9	
10		10		10		10	
11		11		11		11	
12		12		12		12	
13		13		13		13	
14		14		14		14	
15		15		15		15	
16		16		16		16	
17		17		17		17	
18		18		18		18	
19		19		19		19	
20		20		20		20	
21		21		21		21	
22		22		22		22	
23		23		23		23	
24		24		24		24	
25		25		25		25	
26		26		26		26	
27		27		27		27	
28		28		28		28	
29		29		29		29	
30		30		30		30	
31		31		31		31	
32		32		32		32	
33		33		33		33	
34		34		34		34	
35		35		35		35	
36		36		36		36	
37		37		37		37	
38		38		38		38	
39		39		39		39	

ISO 22000:2005 and 2018 (Food Safety Management Systems)			ISO 50001:2011 (Energy Management Systems)	
Cluster	Category	Select Boxes	Types of Organizations	Select Boxes
Farming	A		A. Commercial Buildings	
	B		B. Light to Medium Industry	
Food and Feed Processing	C		C. Heavy Industry	
	D		D. Transportation	
Catering	E		E. Building Complex Energy Use	
Retail, Transport and Storage	F		F. Energy Supply	
	G		G. Mining	
Auxiliary Service	H		H. Other	
	I			
	J			
Biochemical	K			

FSSC 22000 V 4.1 (Food Safety System Certification)		
Cluster	Category	Select Boxes
Farming (ISO/TS 22002-3)	AI.	
	AII.	
Food Manufacturing (ISO/TS 22002-1)	CI.	
	CII.	
	CIII.	
	CIV.	
	DII.	
	K.	
Food Packaging Manufacturing (ISO/TS 22002-4)	I.	
	DI.	
Animal Feed Production (ISO/TS 22002-6)	DII.	
Catering (ISO/TS 22002-2)	E.	
Retail (BSI/PAS 221)	F.	
	FI.	
Transport and Storage (NEN/NTA 8059)	G	
	GI.	
	GII.	
FSSC – Quality (ISO 9001)		
Additional standard to be assessed	IAF Code	Select Boxes
ISO 22002-3	1	
ISO 22002-1 or ISO 22002-6	3	
ISO 22002-4	6	
ISO 22002-4	7	
ISO 22002-4	14	
ISO 22002-4	17	
BSI/PAS 221	29	
ISO 22002-2	30	
NEN/NTA 8059	31	

<b>ISO 13485:2016</b> (Medical Device Quality Management Systems)			
<u>Main Technical Area</u>	<u>Technical Area</u>	<u>Select Box</u>	
<b>A. NON-ACTIVE MEDICAL DEVICES</b>	General non-active, non-implantable medical devices		
	Non-active implants		
	Devices for wound care		
	Non-active dental devices and accessories		
	Non-active medical devices other than specified above		
<b>B. ACTIVE (NON-IMPLANTABLE) MEDICAL DEVICES</b>	General active medical devices		
	Devices for imaging		
	Monitoring devices		
	Devices for radiation therapy and thermo-therapy		
	Active (non-implantable) medical devices other than specified above		
<b>B. ACTIVE (IMPLANTABLE) MEDICAL DEVICES</b>	General active implantable medical devices		
	Implantable medical devices other than specified above		
<b>C. IN-VITRO DIAGNOSTIC MEDICAL DEVICES (IVD)</b>	<u>Reagents and reagent products, calibrators and control materials for:</u> <ul style="list-style-type: none"> <li>- Clinical Chemistry</li> <li>- Immunochemistry (Immunology)</li> <li>- Hematology/Hemostasis/Immunoematology</li> <li>- Microbiology</li> <li>- Infectious Immunology</li> <li>- Histology/Cytology</li> <li>- Genetic Testing</li> </ul>		
	In-Vitro diagnostic instruments and software		
	IVD medical devices other than specified above		
	<b>D. STERILIZATION METHODS FOR MEDICAL DEVICES</b>	Ethylene oxide gas sterilization (EOG)	
		Moist heat	
Aseptic processing			
Radiation sterilization (e.g. gamma, x-ray, electron beam)			
Sterilization method other than specified above			
<b>E. DEVICES INCORPORATING/UTILIZING SPECIFIC SUBSTANCES/TECHNOLOGIES</b>	Medical devices incorporating medicinal substances		
	Medical devices utilizing tissues of animal origin		
	Medical devices incorporating derivatives of human blood		
	Medical devices utilizing micromechanics		
	Medical devices utilizing nanomaterials		
	Medical devices utilizing biological active coatings and/or materials or being wholly or mainly absorbed		

<b>ISO 14065:2013</b> (Greenhouse Gases Validation and Verification Bodies)	
<b><u>Please provide details for the following</u></b>	<b><u>Enter Text Below</u></b>
A. Organizational boundaries of the GHG project and it's baseline scenarios	
B. Physical infrastructure, activities, technologies and processes of the organization or GHG project	
C. GHG sources, sinks and/or reservoirs	
D. Types of GHGs	
E. Time Period(s)	

<b>ISO 55001:2014</b> (Asset Management Systems)		<b>ISO 29990:2010</b> (Learning Services – Non formal education & training)		<b>ISO 20121:2012</b> (Event Sustainability Management Systems)		<b>ISO 39001:2012</b> (Road Traffic Safety Management Systems)	
<b><u>AMS is non-sector specific</u></b>	<b><u>Select Box</u></b>	<b><u>LSET is non-sector specific</u></b>	<b><u>Select Box</u></b>	<b><u>ESMS is non-sector specific</u></b>	<b><u>Select Box</u></b>	<b><u>RTSMS is non-sector specific</u></b>	<b><u>Select Box</u></b>
<b>ISO 27001:2013</b> (Information Security Management Systems)		<b>ISO/IEC 20000-1:2011</b> (Information Technology Service Management Systems)		<b>ISO 22301:2012</b> (Business Continuity Management Systems)		<b>ISO 37001:2016</b> (Anti-Bribery Management Systems)	
<b><u>ISMS is non-sector specific</u></b>	<b><u>Select Box</u></b>	<b><u>ITSMS is non-sector specific</u></b>	<b><u>Select Box</u></b>	<b><u>BCMS is non-sector specific</u></b>	<b><u>Select Box</u></b>	<b><u>ABMS is non-sector specific</u></b>	<b><u>Select Box</u></b>

<b>ISO 37101:2016</b> (Sustainable Dev. in Communities Management Systems)		<b>ISO 41001:2018</b> (Facility Management Systems)	
<b><u>SDCMS is non-sector specific</u></b>	<b><u>Select Box</u></b>	<b><u>FMS is non-sector specific</u></b>	<b><u>Select Box</u></b>

**E. Complete and Submit**

- a. Please upload the completed form to the IDCAB portal when submitting a quotation request. Additionally, please email a copy to [info@idcab.org](mailto:info@idcab.org), attention: Smith Feverman, International Development Community Accreditation Body.

**F. Disclaimer**

- a. In consideration of the review of this pre-application questionnaire, the applicant is informed that requests pursuant to this pre-application and in reference made part hereof, the Rules of Procedure and Accreditation Criteria for Management System Certification Bodies may be made. The pre-applicant agrees that it shall have no cause of action or claim against IDCAB, International Accreditation Body Forum (IABF), or any of their affiliates, from any denial to continue to the next stage of the formal accreditation process.
  
- b. Note: There is no cost for this pre-application questionnaire.

## Annex A

### Quality (ISO 9001), Environmental (ISO 14001) and Occupational Health and Safety (OHSAS 18001) Management System Certification Scopes

IAF Codes	Description of Sector (bolded) and Subsectors (non-bolded)
1	<b>Agriculture, Hunting, Forestry &amp; Fishing</b>
	Growing of non-perennial crops, Growing of perennial crops, Plant propagation
	Animal production
	Mixed farming, Support activities to agriculture and post-harvest crop activities, Hunting, trapping and related service activities
	Forestry and logging
	Fishing and aquaculture
2	<b>Mining and Quarrying</b>
	Mining of coal and lignite
	Extraction of crude petroleum and natural gas, Support activities for petroleum and natural gas extraction
	Mining of iron ores
	Mining of non-ferrous metal ores
	Other mining and quarrying, Other mining and quarrying n.e.c.
3	<b>Food Products, Beverages and Tobacco</b>
	Processing and preserving of meat and production of meat products, Processing and preserving of fish, crustaceans and mollusks
	Processing and preserving of fruit and vegetables, Manufacture of vegetable and animal oils and fats, Manufacture of dairy products, Manufacture of grain mill products, starches and starch products, Manufacture of bakery and farinaceous products, Manufacture of other food products, Manufacture of prepared animal feeds
	Manufacture of beverages except of the manufacture of soft drinks
	Manufacture of soft drinks; production of mineral waters and other bottled waters
	Manufacture of tobacco products
4	<b>Textiles and Textile Products</b>
	Manufacture of textiles, Manufacture of wearing apparel
5	<b>Leather and Leather Products</b>
	Manufacture of leather and related products
6	<b>Wood and Wood Products</b>
	Manufacture of wood and of products of wood and cork, except furniture; manufacture of articles of straw and plaiting materials
7	<b>Pulp, Paper and Paper Products</b>
	Manufacture of paper and paper products
8	<b>Publishing Companies</b>
	Publishing of books, periodicals and other publishing activities, Sound recording and music publishing activities
9	<b>Printing Companies</b>
	Printing and service activities related to printing
	Reproduction of recorded media
10	<b>Coke and Refined Petroleum Products</b>
	Manufacture of coke and refined petroleum products
11	<b>Nuclear Fuel</b>
	Processing of nuclear fuel, Manufacture of other inorganic basic chemicals
12	<b>Chemicals, Chemical Products and Fibres</b>
	Manufacture of chemicals and chemical products
	Manufacture of industrial gases
	Manufacture of explosives
13	<b>Pharmaceuticals</b>
	Manufacture of basic pharmaceutical products and pharmaceutical preparations
14	<b>Rubber and Plastic Products</b>
	Manufacture of rubber and plastic products
15	<b>Non-metallic Mineral Products</b>
	Manufacture of other non-metallic mineral products
16	<b>Concrete, Cement, Lime, Plaster, etc.</b>
	Manufacture of cement, lime and plaster, Manufacture of cement, lime and plaster
17	<b>Basic Metals and Fabricated Metal Products</b>
	Manufacture of basic metals



	Manufacture of fabricated metal products, except machinery and equipment
	Manufacture of steam generators, except central heating hot water boilers
18	<b>Machinery and Equipment</b>
	Manufacture of machinery and equipment n.e.c., Manufacture of military fighting vehicles, Repair of machinery, Installation of industrial machinery and equipment
	Manufacture of weapons and ammunition
19	<b>Electrical and Optical Equipment</b>
	Manufacture of computer, electronic and optical products except irradiation, electro-medical, electrotherapeutic, optical instruments and photographic equipment, Repair of electronic and optical equipment, Repair of electrical equipment, Repair of computers and communication equipment
	Manufacture of wiring and wiring devices
	Manufacture of irradiation, electro-medical and electrotherapeutic equipment, Manufacture of optical instruments and photographic equipment
20	<b>Shipbuilding</b>
	Building of ships and boats, Repair and maintenance of ships and boats
21	<b>Aerospace</b>
	Manufacture of air and spacecraft and related machinery, Repair and maintenance of aircraft and spacecraft
22	<b>Other Transport Equipment</b>
	Manufacture of motor vehicles, trailers and semi-trailers, Manufacture of railway locomotives and rolling stock, Manufacture of motorcycles
	Manufacture of bicycles and invalid carriages, Manufacture of other transport equipment n.e.c., Repair and maintenance of other transport equipment
23	<b>Manufacturing Not Elsewhere Classified</b>
	Manufacture of furniture, Other manufacturing, Repair of other equipment
24	<b>Recycling</b>
	Materials recovery
25	<b>Electricity Supply</b>
	Electric power generation, transmission and distribution
26	<b>Gas Supply</b>
	Manufacture of gas; distribution of gaseous fuels through mains
27	<b>Water Supply</b>
	Steam and air conditioning supply, Water collection, treatment and supply
28	<b>Construction</b>
	Construction of buildings, Civil engineering, Specialized construction activities except Electrical, plumbing and other construction installation activities as well as building completion and finishing
	Electrical, plumbing and other construction installation activities, Building completion and finishing
29	<b>Wholesale and Retail Trade; Repair of Motor Vehicles, Motorcycles and Personal and Household Goods</b>
	Wholesale and retail trade and repair of motor vehicles and motorcycles
	Retail sale of automotive fuel in specialized stores
	Wholesale trade, except of motor vehicles and motorcycles, Retail trade, except of motor vehicles and motorcycles except retail sale of automotive fuel in specialized stores, Repair of personal and household goods
30	<b>Hotels and Restaurants</b>
	Accommodation, Food and beverage service activities
31	<b>Transport, Storage and Communications</b>
	Land transport and transport via pipelines, Water transport, Air transport except Space transport
	Space transport
	Warehousing and support activities for transportation
	Postal and courier activities
	Telecommunications
32	<b>Financial Intermediation, Real Estate, Renting</b>
	Financial service activities, except insurance and pension funding, Insurance, reinsurance and pension funding, except compulsory social security, Activities auxiliary to financial services and insurance activities, Real estate activities, Rental and leasing activities
33	<b>Information Technology</b>
	Software publishing, Computer programming, consultancy and related activities, Data processing, hosting and related activities; web portals
34	<b>Engineering Services</b>
	Architectural and engineering activities, Scientific research and development, Other professional, scientific and technical activities
	Technical testing and analysis
35	<b>Other Services</b>

	Legal and accounting activities, Activities of head offices; management consultancy activities, Advertising and market research, Translation and interpretation activities, Employment activities, Office administrative, office support and other business support activities
	Security and investigation activities
	Services to buildings and landscape activities
36	<b>Public Administration</b>
	Public administration and defense; compulsory social security
37	<b>Education</b>
	Education except Higher education
	Higher education
38	<b>Health and Social Work</b>
	Human health activities except Hospital activities
	Hospital activities
	Veterinary activities
	Residential care activities, Social work activities without accommodation
39	<b>Other Social Services</b>
	Sewerage, Waste collection, Waste treatment and disposal, Remediation activities and other waste management services
	Motion picture, video and television program activities, Programming and broadcasting activities, Other information service activities, Travel agency, tour operator and other reservation service and related activities, Creative, arts and entertainment activities, Libraries, archives, museums and other cultural activities , Gambling and betting activities, Sports activities and amusement and recreation activities, Activities of membership organizations, Other personal service activities

## Annex B

### Food Safety Management System (ISO 22000) Certification Scopes

Cluster	Category		Subcategory		Tick as appropriate
Farming	A	Farming of Animals	AI	Farming of Animals for Meat/Milk/Eggs/Honey	
			AII	Farming of Fish and Seafood	
	B	Farming of Plants	BI	Farming of Plants (other than grains and pulses)	
			BII	Farming of Grains and Pulses	
Food and feed processing	C	Food Manufacturing	CI	Processing of perishable animal products	
			CII	Processing of perishable plant products	
			CIII	Processing of perishable animal and plant products (mixed products)	
			CIV	Processing of ambient stable products	
	D	Animal Feed Production	DI	Production of Feed	
			DII	Production of Pet Food	
Catering	E	Catering			
Retail, transport and storage	F	Distribution	FI	Retail/Wholesale	
			FII	Food Broking/Trading	
	G	Provision of Transport and Storage Services	GI	Provision of Transport and Storage Services for Perishable Food and Feed	
			GII	Provision of Transport and Storage Services for Ambient Stable Food and Feed	
Auxiliary services	H	Services			
	I	Production of Food Packaging and Packaging Material			
	J	Equipment manufacturing			
Biochemical	K	Production of (Bio) chemicals			

## Annex C

### Medical Devices Quality Management System (ISO 13485) Certification Scopes

#### A. NON-ACTIVE MEDICAL DEVICES TECHNICAL AREA

Main Technical Areas	Technical Areas	Product Categories Covered by the Technical Areas
Non-active Medical Devices	General non-active, non-implantable medical devices	<ul style="list-style-type: none"> <li>• Non-active devices for anesthesia, emergency and intensive care</li> <li>• Non-active devices for injection, infusion, transfusion and dialysis</li> <li>• Non-active orthopedic and rehabilitation devices</li> <li>• Non-active medical devices with measuring function</li> <li>• Non-active ophthalmologic devices</li> <li>• Non-active instruments</li> <li>• Contraceptive medical devices</li> <li>• Non-active medical devices for disinfecting, cleaning, rinsing</li> <li>• Non-active devices for in vitro fertilization (IVF) and assisted reproductive technologies (ART)</li> </ul>
	Non-active implants	<ul style="list-style-type: none"> <li>• Non-active cardiovascular implants</li> <li>• Non-active orthopedic implants</li> <li>• Non-active functional implants</li> <li>• Non-active soft tissue implants</li> </ul>
	Devices for wound care	<ul style="list-style-type: none"> <li>• Bandages and wound dressings</li> <li>• Suture material and clamps</li> <li>• Other medical devices for wound care</li> </ul>
	Non-active dental devices and accessories	<ul style="list-style-type: none"> <li>• Non-active dental devices/ equipment and instruments</li> <li>• Dental materials</li> <li>• Dental implants</li> </ul>
	Non-active medical devices other than specified above	

#### B. ACTIVE (IMPLANTABLE/NON-IMPLANTABLE) MEDICAL DEVICES

Main Technical Areas	Technical Areas	Product Categories Covered by the Technical Areas
Active Medical Devices (Non-Implantable)	General active medical devices	<ul style="list-style-type: none"> <li>• Devices for extra-corporal circulation, infusion and haemopheresis</li> <li>• Respiratory devices, devices including hyperbaric chambers for oxygen therapy, inhalation anaesthesia</li> <li>• Devices for stimulation or inhibition</li> <li>• Active surgical devices</li> <li>• Active ophthalmologic devices</li> <li>• Active dental devices</li> <li>• Active devices for disinfection and sterilization</li> <li>• Active rehabilitation devices and active prostheses</li> <li>• Active devices for patient positioning and transport</li> <li>• Active devices for in vitro fertilization (IVF) and assisted reproductive technologies (ART)</li> <li>• Software</li> </ul>
	Devices for imaging	<ul style="list-style-type: none"> <li>• Devices utilizing ionizing rays</li> <li>• Devices utilizing non-ionizing rays</li> </ul>
	Monitoring devices	<ul style="list-style-type: none"> <li>• Monitoring devices of non-vital physiological parameters</li> <li>• Monitoring devices of vital</li> </ul>
	Devices for radiation therapy and thermo therapy	<ul style="list-style-type: none"> <li>• Devices utilizing ionizing radiation</li> <li>• Devices utilizing non-ionizing radiation</li> <li>• Devices for hyperthermia / hypothermia</li> <li>• Devices for (extracorporal) shockwave therapy (lithotripsy)</li> </ul>
	Active (non-implantable) medical devices other than specified above	

Active Implantable Medical Devices	General active implantable medical devices	<ul style="list-style-type: none"> <li>• Active implantable medical devices for stimulation / inhibition</li> <li>• Active implantable medical devices delivering drugs or other substances</li> <li>• Active implantable medical devices substituting or replacing organ functions</li> </ul>
	Implantable medical devices other than specified above	

**C. IN VITRO DIAGNOSTIC MEDICAL DEVICES**

Main Technical Areas	Technical Areas
In Vitro Diagnostic Medical Devices (IVD)	<u>Reagents and reagent products, calibrators and control materials for:</u>
	<ul style="list-style-type: none"> <li>• Clinical Chemistry</li> <li>• Immunochemistry (Immunology)</li> <li>• Haematology/Haemostasis/Immunohematology</li> <li>• Microbiology</li> <li>• Infectious Immunology</li> <li>• Histology/Cytology</li> <li>• Genetic Testing</li> </ul>
	In Vitro Diagnostic Instruments and software
	IVD medical devices other than specified above

**D. STERILIZATION METHODS FOR MEDICAL DEVICES**

Main Technical Areas	Technical Areas
Sterilization Method for Medical Devices	Ethylene oxide gas sterilization (EOG)
	Moist heat
	Aseptic processing
	Radiation sterilization (e.g. gamma, x-ray, electron beam)
	Sterilization method other than specified above

**E. DEVICES INCORPORATING/UTILIZING SPECIFIC SUBSTANCES/TECHNOLOGIES**

Main Technical Areas	Technical Areas
Devices incorporating/utilizing specific substances/technologies	Medical devices incorporating medicinal substances
	Medical devices utilizing tissues of animal origin
	Medical devices incorporating derivatives of human blood
	Medical devices utilizing micromechanics
	Medical devices utilizing nanomaterials
	Medical devices utilizing biological active coatings and/or materials or being wholly or mainly absorbed

## **Annex D**

### **Greenhouse Gas Validation and Verification Body Management System (ISO 14065) Scopes**

When applying for accreditation to ISO 14065, Greenhouse Gas Validation and Verification bodies shall provide the following to IAS:

- a) Organizational boundaries or the GHG project and its baseline scenarios;
- b) Physical infrastructure, activities, technologies and processes of the organization or GHG project;
- c) GHG sources, sinks and/or reservoirs;
- d) Types of GHGs;
- e) Time period(s).

## **Annex E**

### **Energy Management System (ISO 50001) Scopes**

- a) Commercial Buildings
- b) Light to Medium Industry
- c) Heavy Industry
- d) Transportation
- e) Building Complex Energy Use
- f) Energy Supply
- g) Mining
- h) Other

## **Annex F**

### **ISO/IEC 27001 (Information Security Management System), ISO/IEC 20000-1 (Information Technology Service Management System), ISO 22301 (Business Continuity Management System), ISO 55001 (Asset Management System), ISO 29990 (Learning Services – Non formal education & training), ISO 20121 (Event Sustainability Management System), ISO 39001:2012 (Road Traffic Safety Management System), ISO 37001:2016 (Anti-Bribery Management System), ISO 37101:2016 (Sustainable Dev. in Communities Management System), and ISO 41001:2018 (Facility Management System) Scopes**

For the above mentioned standards, no specific scoping is currently available. Therefore, specific sectors/areas for certification need not be defined.